



United States Department of

Health & Human Services

Office of the Assistant Secretary for Preparedness and Response



BARDA Industry Day
Washington, DC

Grants and Cooperative Agreements

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Grants and Contracts

Grants and Cooperative Agreements

The principal purpose of the transaction is the transfer of money, property, services or anything of value to accomplish a public purpose of support or stimulation authorized by Federal statute. The primary beneficiary under a grant or cooperative agreement is the public, as opposed to the Federal Government.

Contracts

The principal purpose of a transaction is acquisition, by purchase, lease, or barter, of property or services for the direct benefit or use of the Federal Government.





What Are Grants and Cooperative Agreements



- What is a grant?

A federal grant is an award of financial assistance from a federal agency to a recipient to carry out a public purpose of support or stimulation authorized by a law of the United States.

A federal grant may not be used to acquire property or services for the federal government's direct benefit

- What is a cooperative agreement?

A grant (financial assistance) with substantial involvement.

Federal Spending FY 2012 Reported on USASpending

- Grants - \$496.1B
- Contracts - \$381.2B

- Research and Development funds
 - Supplement not supplant
- Conference Grants
 - support
- Grantees may contract with agencies
 - follow grantee policies





Where to find opportunities



HHS Grant and Funding

<http://www.hhs.gov/grants>

GetOpportunityList –

http://grants.gov/applicants/email_subscription.jsp

HHS Grant Forecast

<http://www.acf.hhs.gov/hhsgrantsforecast/>

Catalog of Federal Domestic Assistance

<https://www.cfda.gov/>

USA Spending

<http://www.usaspending.gov/>



Eligibility



HHS has the capability to issue grant awards to for-profit (commercial) organization if...

The statute doesn't restrict eligibility to certain types of organizations, such as non-profits, states.

Organization must have specific authorization to award to foreign organizations



Process



- Funding Opportunity Announcement posted to grants.gov
- At least 30 days to respond – all applications submitted through grants.gov
- Application review takes between 3-6 weeks depending on number of applications
- Negotiations
- Issuing of Awards
- Award Monitoring
- Closeout



Registering for Grants



Systems for Award Management

<https://www.sam.gov/portal/public/SAM/>

DUNS number

<http://fedgov.dnb.com/webform>

Grants.gov – allow 10 working days

http://grants.gov/applicants/get_registered.jsp

Grant

- You provide scope of work
- Benchmarks/outcomes
- Funding
 - No Fee/Profit
 - FAR for allowable costs
- HHS Grants Policy Statement
- Reporting
 - audit
 - fiscal





Managing Grants: Pre-Award, Post-Award & Closeout



Pre-Award

- Grants.gov
- Competitive Process –
 - Peer Review
 - Pre-Award Conferences
 - Cost Analysis & Negotiation
 - Reasonableness
 - Indirect Rates
 - Cost Allocation Plans

Post-Award

- Kickoff Meeting
- Scope of Work
- Binding Terms and Conditions
- Advance Payments
- Reporting Requirements
- Budget and Project Dates
- Prior Approvals
- Award Monitoring
 - Site Visits
 - Desk Financial Review
 - Amendments
 - Audit Resolutions



Grant Terms and Conditions

- Scope of Work
- Categorical Budget
- Budget Period
- Project Period
- Program Progress Reporting Requirements
- Financial Reporting Requirements
- Drug Free Workplace
- Human Trafficking
- Special Term and Conditions:

i.e., Benchmarks, Deliverables, Cost Reimbursements, Additional Prior Approval Requirements, Increase in frequency of reporting, matching, cost share, human subject, animal research, intellectual property



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Thank you!